



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: M. Sakaguchi et al.

Serial No.: 09/721,694

Filed: November 27, 2000

For: Surface Plasmon-Enhanced Read/Write Heads for Optical  
Data Storage Media

Group Art Unit: 2185

Examiner: Not Yet Assigned

Date: July 2, 2001

2185  
Patent #2  
8-1-01

RECEIVED

JUL 17 2001

Technology Center 2600

JUL 20 2001

RECEIVED  
C 2600 MAILROOM

RECEIVED

JUL 12 2001

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Dear Sir:

Pursuant to the duty of disclosure of information material to the patentability of claims in the above-captioned patent application under 37 C.F.R. §1.56, submitted herewith is a completed Form PTO-1449 which lists 1 (one) reference. A copy of the reference cited herein is enclosed herewith.

Applicants respectfully submit that no fee is due because it is believed that this Information Disclosure Statement is being filed before the mailing date of a First Office Action on the merits. Nevertheless, authorization is hereby given to charge any fees which may be required, except the issue fee, to Deposit Account 14-0627.

Respectfully submitted,

Andrew G. Isztwan, Esq.  
Reg. No. 40,028  
(609) 951-2533

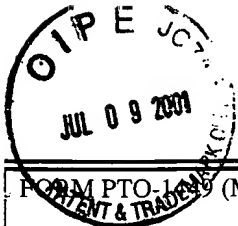
RECEIVED  
JUL 17 2001  
Technology Center 2100

**Certificate of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on or before July 2, 2001.

Peggy Sisk

DOCKET: NECI1069



FORM PTO-1485 (Modified)

ATTY. DOCKET NO.  
NECI1069SERIAL NO.  
09/721,694

RECEIVED

JUL 17 2001

Technology Center 2600

APPLICANT(S)  
M. Sakaguchi et al.FILING DATE  
11/27/2000GROUP  
2185

JUL 20 2001

TC 2600 MAILROOM

LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

(Use several sheets if necessary)

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAM- INER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,846,843	Dec. 8, 1998	Simon			

RECEIVED  
JUL 17 2001  
Technology Center 2100

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


RECEIVED

JUL 12 2001

Technology Center 2100

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.